

ATTORNEY DOCKET NO.

11321-P077WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Richard E. Smalley et al.

Serial No.: 10/575,352

Filing Date: October 14, 2004

Art Unit: Unknown

Examiner: Unknown

Title: *Amplification of Carbon Nanotubes Via Seeded Growth Methods*

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Applicant hereby submits the following references in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Foreign references cited in the attached PTO/SB/08A are enclosed for the examiner's reference and copies of the references cited in the attached PTO/SB/08B are also enclosed. Furthermore, pursuant to 37 C.F.R. § 1.97(g) and (h), no representation is made that this is material to patentability of the present application or that a search has been made.

Applicant hereby submits that claims of Applicant's referenced patent application are patentably distinguishable from these references.

This Information Disclosure Statement is being filed within three (3) months of these references being cited in a communication from a foreign patent office in a corresponding foreign application.

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Applicant does not believe that any fees are due at this time; however, the Director of Patents and Trademarks is hereby authorized to charge any fees relating to this Information Disclosure Statement under 37 CFR § 1.17 to Deposit Account No 23-2426 of WINSTEAD SECHREST & MINICK P.C. (referencing matter 11321-P077WOUS).

Respectfully submitted,



Date: 4/12/07

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CERTIFICATE OF MAILING

I hereby certify that the attached *Information Disclosure Statement* and cited art are being deposited with the USPS, with sufficient postage as first class mail, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this the 12th day of April, 2007.

4/12/07
Date

J. E. Shaddox
Signature

906552v.1 11321/P077WOUS

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
		Application Number	10/575,352		
		Filing Date	October 14, 2004		
		First Named Inventor	Richard E. Smalley		
		Art Unit	Unknown		
		Examiner Name	Unknown		
Sheet	2	of	2	Attorney Docket Number	11321-P077WOUS

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	3	STRANO ET AL., "Electronic structure control of single-walled carbon nanotube functionalization", 301 Science (2003), pgs. 1519-1522	
	4	AN ET AL., "Synthesis of nearly uniform single-walled carbon nanotubes using identical metal-containing..", 124 J. Am. Chem. Soc. (2002), pgs. 13688-13689	
	5	International Preliminary Report on Patentability, (PCTY/US04/034002), dated January 17, 2007	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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